

1 Early epidemiological assessment of the transmission 2 potential and virulence of 2019 Novel Coronavirus in 3 Wuhan City: China, 2019–2020

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24 **Abstract**

25 **Background:**

26 Since the first cluster of cases was identified in Wuhan City, China, in December, 2019,
 27 2019–nCoV has rapidly spread across China as well as caused multiple introductions in
 28 25 countries as of February, 2020. Despite the scarcity of publicly available data,
 29 scientists around the world have made strides in estimating the magnitude of the
 30 epidemic, the basic reproduction number, and transmission patterns. Recently more
 31 evidence suggests that a substantial fraction of the infected individuals with the novel
 32 coronavirus show little if any symptoms, which suggest the need to reassess the
 33 transmission potential of emerging disease. The present study aimed to estimates of the
 34 transmissibility and virulence of 2019–nCov in Wuhan City, China, by reconstructing
 35 the underlying transmission dynamics.

36 **Methods:**

37 We employ statistical methods and publicly available epidemiological datasets to jointly
 38 derive estimates of transmissibility and severity associated with the novel coronavirus.
 39 For estimation, the daily series of laboratory–confirmed nCov cases and deaths in
 40 Wuhan City and epidemiological data of Japanese evacuees from Wuhan City on board
 41 government–chartered flights were used.

42 **Results:**

43 We found that our posterior estimates of basic reproduction number (R) in Wuhan City,
 44 China in 2019–2020 is calculated to be as high as 7.05 (95%CrI: 6.11–8.18) and the
 45 enhanced public health intervention after January 23rd in 2020 has declined R to 3.24
 46 (95%CrI: 3.16–3.32), with the total number of infections (i.e. cumulative infections)
 47 estimated at 983006 (95%CrI: 759475– 1296258) in Wuhan City, raising the proportion
 48 of infected individuals to 9.8% (95%CrI: 7.6–13.0%). We also found that most recent
 49 crude infection fatality ratio (IFR) and time–delay adjusted IFR is estimated to be
 50 0.07% (95% CrI: 0.05%–0.09%) and 0.23% (95%CrI: 0.17–0.30%), which is several
 51 orders of magnitude smaller than the crude CFR at 4.06%

52 **Conclusions:**

53 We have estimated key epidemiological parameters of the transmissibility and virulence
 54 of 2019–nCov in Wuhan, China, 2019-2020 using an ecological modelling approach.
 55 The power of our approach lies in the ability to infer epidemiological parameters with
 56 quantified uncertainty from partial observations collected by surveillance systems.

57 **Keywords:** epidemic; transmissibility; mathematical model; 2019–nCov; China

58

59 Background

60 The novel coronavirus (2019-nCoV) emerging from China is a deadly
61 respiratory pathogen that belongs to the same family as the coronavirus responsible for
62 the 2002-2003 Severe Acute Respiratory Syndrome (SARS) outbreaks [1]. Since the
63 first cluster of cases was identified in Wuhan City, China, in December, 2019,
64 2019-nCoV has rapidly spread across China as well as caused multiple introductions in
65 25 countries as of February, 2020 [2]. Nevertheless, China is bearing the burden of this
66 emerging infectious disease, especially the city of Wuhan located in Hubei province,
67 where the first cluster of severe pneumonia caused by the novel virus was identified.
68 Meanwhile, the cumulative number of laboratory confirmed cases and deaths in
69 mainland China has reached 28001 and 642, respectively, as of February 5th, 2020 [2].

70 Because the morbidity and mortality burden associated with the novel
71 coronavirus has disproportionally affected the city of Wuhan, the central government of
72 the People's Republic of China imposed a lockdown and social distancing measures in
73 this city and surrounding areas starting on January 23rd 2020. Indeed, out of the 28001
74 2019-nCov cases reported in China, 11618 cases (37.3%) are from Wuhan City. In terms
75 of the death count, a total of 478 deaths (74.5%) have occurred in Wuhan city out of the
76 642 deaths reported throughout China. To guide the effectiveness of interventions, it is
77 crucial to gauge the uncertainty relating to key epidemiological parameters relating to
78 the transmissibility and the severity of the disease. Despite the scarcity of publicly
79 available data, scientists around the world have made strides in estimating the
80 magnitude of the epidemic, the basic reproduction number, and transmission patterns
81 [3-4]. Recently more evidence suggests that a substantial fraction of the infected
82 individuals with the novel coronavirus show little if any symptoms, which suggest the

83 need to reassess the transmission potential of emerging disease [5-6]. For this purpose,
84 in this study we employ statistical methods and publicly available epidemiological
85 datasets to jointly derive estimates of transmissibility and severity associated with the
86 novel coronavirus.

87

88 **Methods**

89 **Epidemiological data**

90 We linked our model to two different datasets. First, the daily series of
91 laboratory-confirmed nCov cases and deaths in Wuhan City were extracted according to
92 date of symptoms onset or reporting date from several sources [2, 8-9]. As of February
93 8th, 2020, a total of 14982 confirmed cases including 608 deaths were reported in
94 Wuhan City. Second, epidemiological data of Japanese evacuees from Wuhan City on
95 board government-chartered flights were obtained from the Japanese government. After
96 arriving in Japan, all of the Japanese evacuees were kept in isolation for about 14 days
97 and examined for infection using polymerase chain reaction (PCR) [6]. As of February
98 9th, a total of four flights left Wuhan City. We collected information on the dates when
99 those flights left Wuhan City and the number of passengers with confirmed cases to
100 calibrate our model (Table S1)

101

102 **Statistical analysis**

103 Using the following integral equation model, we estimate the reproduction
104 number of 2019-nCov. Here, infected and reported cases are denoted by i and c ,
105 respectively.

We connected a daily incidence series with a discrete-time integral equation to describe the epidemic dynamics. Let g_s denote the probability mass function of the serial interval, e.g., the time from illness onset in a primary case to illness onset in the secondary case, of length s days, which is given by

$$g_s = G(s) - G(s-1) ,$$

For $s > 0$ where $G(\cdot)$ represents the cumulative distribution function of the gamma distribution. Mathematically, we describe the expected number of new cases with day t , $E[c(t)]$ as follows,

$$E[c(t)] = \sum_{s=1}^{\infty} E[c(t-s)]R,$$

where $E[c(t)]$ represents the expected number of new cases with onset day t , where R represents the average number of secondary cases per case.

Subsequently, we also employed the time-dependent variation in R to take into account the impact of enhanced interventions on the transmission potential. This time dependence was modelled by introducing a parameter δ_t , which is given by

$$\delta_t = \begin{cases} 1 & \text{if } t = \text{period}_1 \\ \beta_1 & \text{otherwise} \end{cases} ,$$

where period_1 represents the corresponding period from the start of study period to January 23rd 2020, when the central government of the People's Republic of China imposed a lockdown in Wuhan and other cities in Hubei in an effort to quarantine the epicentre of the coronavirus (2019-nCoV) to mitigate transmission while parameter β_1 scales the extent of the intervention, taking values smaller than 1[10].

To account for the probability of occurrence, θ [11], we assume that the number of observed cases on day t , $h(t)$, occurred according to a Bernoulli sampling process, with the expected values $E(c_i; H_{t-1})$, where $E(c_i; H_{t-1})$ denotes the conditional expected

126 incidence on day t , given the history of observed data from day 1 to day $(t-1)$, denoted
127 by H_{t-1} . Thus, the number of expected newly observed cases is written as follows:

$$E[h(t); H_{t-1}] = \begin{cases} (1 - \theta) + \theta E[c(t); H_{t-1}], & \text{if } h = 0, \\ \theta E[c; H_{t-1}], & \text{otherwise,} \end{cases}$$

128 Further, we model the time-dependent variation in the reporting probability.

129 This time dependence was modelled by introducing a parameter δ_2 , which is given by

$$\delta_2 = \begin{cases} \alpha_1, & \text{if } t = \text{period}_2, \\ \alpha_2, & \text{if } t = \text{period}_3, \\ 1, & \text{otherwise,} \end{cases}$$

130 where period_2 and period_3 represents the corresponding periods from the start of our
131 study period to the Jan 17, and from Jan 18 to Jan 20, respectively, while α_1 and α_2 scale
132 the extent of the reporting probability (where α_1 and α_2 is expected to be smaller than 1),
133 motivated by a previous study [12]. The number of expected newly observed cases
134 should be updated as

$$E[h(t); H_{t-1}] = \begin{cases} (1 - \theta) + q\delta\theta E[c(t); H_{t-1}], & \text{if } h_a = 0, \\ q\delta\theta E[c(t); H_{t-1}], & \text{otherwise,} \end{cases}$$

135 We assume the incidence, $h(t)$ is the result of the Binomial sampling process with the
136 expectation $E[h]$. The likelihood function for the time series of observed cases that we
137 employ to estimate the effective reproduction number and other relevant parameters is
138 given by:

$$L_1(U; c) = \prod_{t=1}^T \binom{E(h(t); H(t-1))}{c(t)} q^{c(t)} (1 - q)^{E(h(t); H(t-1)) - c(t)},$$

139 where U indicates parameter sets that are estimated from this likelihood.

140 Subsequently, the conditional probability of non-infection given residents in
141 Wuhan City at the time point of t_i , p_{ti} , was assumed to follow a binomial distribution,
142 and the likelihood function is given by:

$$L_2(p_{t_i}; M_{t_i}, m_{t_i}) = \binom{M_{t_i}}{m_{t_i}} p_{t_i}^{m_{t_i}} (1 - p_{t_i})^{M_{t_i} - m_{t_i}},$$

143 Where M_{t_i} and m_{t_i} is the number of government charted flight passengers and
144 non-infected passengers at the date of t_i , respectively, and p_{t_i} is the proportion of the
145 estimated non-infected population in Wuhan at the date of t_i , calculated from the $h(t)$
146 and catchment population in Wuhan City [3,13].

147 Serial interval estimates of 2019-nCov were derived from previous studies of
148 nCov, indicating that it follows a gamma distribution with the mean and SD at 7.5 and
149 3.4 days, respectively, based on ref. [14]. The maximum value of the serial interval was
150 fixed at 28 days as the cumulative probability distribution of the gamma distribution up
151 to 28 days reaches 0.999.

152

153 **Infection fatality ratio**

154 Crude CFR and crude IFR is defined as the number of cumulative deaths
155 divided by the number of cumulative cases or infections at a specific point in time
156 without adjusting the time delay from illness onset or hospitalization to death. Next, we
157 employed an integral equation model in order to estimate the real-time IFR. First, we
158 estimated the real-time CFR as described elsewhere [15-17]. For the estimation, we
159 employ the delay from hospitalization to death, f_s , which is assumed to be given by $f_s =$
160 $F(s) - F(s-1)$ for $s > 0$ where $H(s)$ follows a gamma distribution with mean 10.1 days and
161 SD 5.4 days, obtained from the available observed data [18].

$$L_3(\pi; c_t, \theta) = \prod_{t_i} \binom{t_i}{D_{t_i}} \left(\pi \frac{\sum_{t=2}^{t_i} \sum_{s=1}^{t-1} c_{t-s} f_s}{\sum_{t=1}^{t_i} c_t} \right)^{D_{t_i}} \left(1 - \pi \frac{\sum_{t=2}^{t_i} \sum_{s=1}^{t-1} c_{t-s} f_s}{\sum_{t=1}^{t_i} c_t} \right)^{\sum_{t=1}^{t_i} c_t - D_{t_i}}$$

162 where c_t represents the number of new cases with reported day t , and D_t is the number of
 163 new deaths with reported day t_i [2,8-9, 18]. We assume that the cumulative number of
 164 observed deaths, D_t is the result of the binomial sampling process with probability π .
 165 Subsequently, crude IFR and time-delay adjusted IFR are calculated using the estimated
 166 π and h_t .

167 The total likelihood is calculated as $L=L_1L_2L_3$ and model parameters were
 168 estimated using a Monte Carlo Markov Chain (MCMC) method in a Bayesian
 169 framework. Posterior distributions of the model parameters were estimated based on
 170 sampling from the three Markov chains. For each chain, we drew 100,000 samples from
 171 the posterior distribution after a burn-in of 20,000 iterations. Convergence of MCMC
 172 chains were evaluated using the potential scale reduction statistic [19-20]. Estimates and
 173 95% credibility intervals for these estimates are based on the posterior probability
 174 distribution of each parameter and based on the samples drawn from the posterior
 175 distributions. All statistical analyses were conducted in R version 3.5.2 (R Foundation
 176 for Statistical Computing, Vienna, Austria) using the ‘rstan’ package.

178 Results

179 The daily series of 2019-nCoV laboratory-confirmed incidence and

180 cumulative incidence in Wuhan in 2019–2020 are displayed in Figure 1. Overall, our
181 dynamical models yield a good fit to the temporal dynamics (i.e. incidence, cumulative
182 incidence) including an exponential growth pattern in Wuhan. In incidence data, a few
183 fluctuations are seen, probably indicating surveillance system likely missed many cases
184 during the early transmission phase (Figure 1).

185 Our posterior estimates of basic reproduction number (R) in Wuhan City, China
186 in 2019–2020 was estimated to be as high as 7.05 (95%CrI: 6.11–8.18). The
187 time-dependent scaling factor quantifying the extent of enhanced public health
188 intervention on R is 0.46 (95%CrI: 0.39–0.54) and this has declined R to 3.24 (95%CrI:
189 3.16–3.32) after January 23rd, 2020. Other parameter estimates for the probability of
190 occurrence and reporting rate are 0.97 (95% CrI: 0.82–1.00) and 0.015 (95% CrI:
191 0.012–0.02), respectively. Moreover, the time-dependent scaling factor quantifying the
192 extent of reporting rate, α , is estimated to be 0.08 (95% CrI: 0.03–0.21) before January
193 17 and to be 0.98 (95% CrI: 0.91–1.00) from January 17 to January 20.

194 The total number of estimated laboratory-confirmed cases (i.e. cumulative
195 cases) is 14433 (95% CrI: 12339–15104) and respectively, while the actual numbers of
196 reported laboratory-confirmed cases during our study period is 14982. Moreover, we
197 inferred the total number of 2019–nCov infections (Figure S1). Our results indicate that
198 the total number of infections (i.e. cumulative infections) is 983006 (95%CrI: 759475–
199 1296258).

200 The Observed and posterior estimates of the cumulative number of deaths of
201 the 2019–nCov epidemic in Wuhan are displayed in Figure 2, and model-based
202 posterior estimates of the cumulative number of deaths is 610 (95%CrI: 546–680),
203 while actual number of reported deaths is 608. The estimated temporal variation in the

204 death risk caused by 2019–nCov in Wuhan, China, 2019–2020 is shown in Figure 3 and
205 Figure S2. Observed and posterior estimated of crude CFR in Wuhan City is presented
206 in Figure 2A, while observed and posterior estimates of time–delay adjusted CFR is
207 shown in Figure 2B. Furthermore, Figure 3A and 3B illustrates time–delay no–adjusted
208 IFR and time–delay adjusted IFR, respectively.

209 The latest estimate of the crude CFR and time–delay adjusted CFR in Wuhan
210 appeared to be 4.51 % (95% CrI: 4.02–5.32%) and 15.93% (95% CrI: 14.60–17.28%),
211 respectively, whereas the latest model–based posterior estimates of time–delay not
212 adjusted IFR and adjusted IFR, presented in Figure 3 C and D, are 0.07%(95% CrI:
213 0.05%–0.09%) and 0.23% (95%CrI: 0.17–0.30%), respectively, while the observed
214 crude CFR is calculated to be 4.06% (Table 1).

215

216 Discussion

217 In this study we derived estimates of the transmissibility and virulence of
218 2019–nCov in Wuhan City, China, by reconstructing the underlying transmission
219 dynamics. Applying dynamic modeling, the reproduction number and death risks as
220 well as probabilities of occurrence and reporting rate were estimated.

221 Our posterior estimates of basic reproduction number (R) in Wuhan City, China
222 in 2019–2020 is calculated to be as high as 7.05 (95%CrI: 6.11–8.18). The
223 time–dependent scaling factor quantifying the extent of enhanced public health
224 intervention on R is 0.46 (95%CrI: 0.39–0.54) and this has declined R to 3.24 (95%CrI:
225 3.16–3.32) after January 23rd in 2020. These R estimates capturing the underlying
226 transmission dynamics modify the impact of 2019–Cov, with the total number of

infections (i.e. cumulative infections) estimated at 983006 (95%CrI: 759475– 1296258) in Wuhan City, raising the proportion of infected individuals to 9.8% (95%CrI: 7.6–13.0%) with a catchment population in Wuhan City of 10 million people. These sustained high R values in Wuhan City even after the lockdown and mobility restrictions suggests that transmission is occurring inside the household or in healthcare settings [19], which is a landmark of past SARS and MERS outbreaks [20-21]. Considering the potent transmissibility of 2019-nCov in confined settings, as illustrated by the ongoing 2019-nCov outbreak aboard a cruise ship, the Diamond Princess, where the total number of secondary or tertiary infections has reached 135 as of February 10th, 2020 [22], it is crucial to prevent further hospital-based transmission by strengthening infection control measures.

Our most recent estimates of the crude CFR and time-delay adjusted CFR are at 4.51% (95% CrI: 4.02–5.32%) and 15.93% (95% CrI: 14.60–17.28%), respectively. In contrast, our most recent crude IFR and time-delay adjusted IFR is estimated to be 0.07%(95% CrI: 0.05%–0.09%) and 0.23% (95%CrI: 0.17–0.30%), which is several orders of magnitude smaller than the crude CFR at 4.06%. These findings indicate that the death risk in Wuhan is estimated to be much higher than those in other areas, which is likely explained by hospital-based transmission [23-24]. Indeed, past nosocomial outbreaks have been reported to elevate the CFR associated with MERS and SARS outbreaks, where inpatients affected by underlying disease or seniors infected in the hospital setting have raised the CFR to values as high as 20% for a MERS outbreak [25-26].

Public health authorities are interested in quantifying R and CFR to measure the transmission potential and virulence of an infectious disease, especially when

251 emerging/re-emerging epidemics occur in order to decide the intensity of the public
252 health response. Given a substantial portion of unobserved infections due to 2019-nCov,
253 R estimates derived from infections and IFR are probably more realistic than R solely
254 derived from observed cases and the CFR as an index. [19, 27-28]

255 Our analysis also revealed a high probability of occurrence and quite low
256 reporting probabilities in Wuhan City. High probability of occurrence in the above
257 equation suggests that zero observed cases at some point is not due to the absence of
258 those infected, but due to a low reporting rate. A very low reporting probability suggests
259 that it is difficult to diagnose 2019-nCov cases or a breakdown in medical care delivery.
260 Moreover, we also identified a remarkable change in reporting rate, estimated to be
261 12-fold lower in the 1st period (–Jan 16, 2020) and about the same during the 2nd period
262 (January 17 – 20, 2020), relative to the that estimated after January 21st 2020.

263
264 Our results are not free from the limitations. First, our methodology aims to capture the
265 underlying transmission dynamics. By implementing mass screening in certain
266 populations is a useful approach to ascertain the real proportion of those infected and a
267 way of adding credibility to the estimated values. Second, it is worth noting that the data
268 of Japanese evacuee employed in our analysis is not a random sample from the Wuhan
269 catchment population. Indeed, it is also plausible that their risk of infection in this sample
270 is not as high as local residents in Wuhan, underestimating the reproduction number.

271 Conclusion

272 In summary, we have estimated key epidemiological parameters of the
273 transmissibility and virulence of 2019-nCov in Wuhan, China, 2019-2020 using an

274 ecological modelling approach. The power of our approach lies in the ability to infer
275 epidemiological parameters with quantified uncertainty from partial observations
276 collected by surveillance systems.

277

278 **List of abbreviations**

279 CFR: Case fatality ratio, IFR: Infection Fatality ratio, SARS: Severe Acute Respiratory
280 Syndrome, MERS: Middle East Respiratory Syndrome

281

282 **Additional files**

283 **Additional file 1:**

284 **Appendix. Table S1.** Information related to Japanese evacuees from Wuhan City on

285 board government-chartered flights

286

287

288 **Declarations**

289 **Ethics approval and consent to participate**

290 Not applicable.

291 **Consent for publication**

292 Not applicable.

293 **Availability of data and materials**

294 The present study relies on published data and access information to essential
295 components of the data are available from the corresponding author.

296 **Competing interests**

297 The authors declare that they have no competing interests.

298

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307 **Authors' contributions**

308 KM and GC conceived the early study idea. KM and KK built the model. KM
309 implemented statistical analysis and wrote the first full draft. GC advised on and helped
310 shape the research. All authors contributed to the interpretation of the results and edited
311 and commented on several earlier versions of the manuscript.

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314

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 406

407 **Figures**

408 **Figure 1. Observed and posterior estimates of the daily new cases and** 409 **cumulative cases of the 2019–nCov cases in Wuhan, China, 2019–2020**

410 Observed and posterior estimates of laboratory–confirmed reported cases (A) and
411 cumulative reported cases (B) are presented.
412 Observed data are presented in the dot, while dashed line indicates 50 percentile, and
413 areas surrounded by light grey and deep grey indicates 95% and 50% credible intervals
414 (CrI) for posterior estimates, respectively. Epidemic day 1 corresponds to the day that
415 starts at January 1st, 2020.

417 **Figure 2. Observed and posterior estimates of the cumulative deaths of the** 418 **2019–nCov in Wuhan, China, 2019–2020**

419 Observed and posterior estimates of the cumulative deaths of the 2019–nCov in Wuhan
420 is presented. Observed data are presented in the dot, while dashed line indicates 50
421 percentile, and areas surrounded by light grey and deep grey indicates 95% and 50%
422 credible intervals (CrI) for posterior estimates, respectively. Epidemic day 1
423 corresponds to the day that starts at January 1st, 2020.

425 **Figure 3. Temporal variation of the infection fatality risks caused by 2019–nCov** 426 **in Wuhan, China, 2019–2020**

427

428 (A) Posterior estimates of crude infection fatality ratio in Wuhan City. (B) Posterior
 429 estimates of time–delay adjusted infection fatality ratio in Wuhan City.
 430 Black dots shows observed data, and light and dark indicates 95% and 50% credible
 431 intervals for posterior estimates, respectively. Epidemic day 1 corresponds to the day
 432 that starts at January 1st, 2020.
 433

434 **Figure S1. Observed daily new cases and posterior estimates of the daily new**
 435 **infections of the 2019–nCov in Wuhan, China, 2019–2020**

436 Observed daily new cases and posterior estimates of infections of the 2019–nCov are
 437 presented.

438 Observed data are presented in the dot, while dashed line indicates 50 percentile, and
 439 areas surrounded by light grey and deep grey indicates 95% and 50% credible intervals
 440 (CrI) for posterior estimates, respectively. Epidemic day 1 corresponds to the day that
 441 starts at January 1st, 2020.

443 **Figure S2. Temporal variation of the case fatality risks caused by 2019–nCov in**
 444 **Wuhan, China, 2019–2020**

445 (A) Observed and posterior estimates of crude case fatality ratio in Wuhan City, (B)
 446 Observed crude case fatality ratio and posterior estimates of time–delay adjusted CFR
 447 in Wuhan City.

448 This figure is submitted to the ref [18]. The purpose of the study is to compare the case
 449 fatality ration (CFR. Not IFR) in three different areas (Wuhan City, in Hubei Province
 450 excluding Wuhan City and in China excluding Hubei Province) to interpret the current
 451 severity of the epidemic in China, and the purpose is different from this study.

452

453

454 Tables

455 Table 1 – Death risk by 2019–nCov in Wuhan City, China, 2020 (As of
456 February 9, 2020)

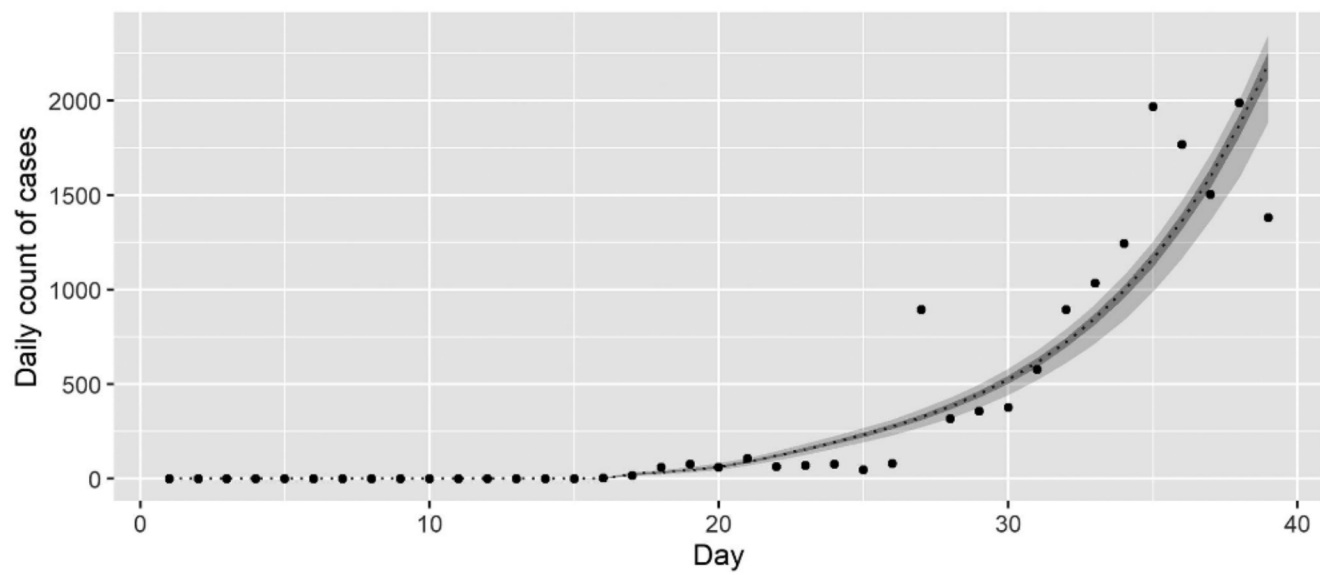
Death Risk	Latest estimate	Range of median estimates
Crude CFR (Observed)	4.06%	2.02 – 9.03%
Crude CFR (Estimated)	4.50% (95%CrI [‡] : 4.02 – 5.31%)	3.00 – 5.80%
Time delay adjusted CFR	15.93% (95%CrI: 14.60 – 17.28%)	4.22 – 34.54%
Crud IFR	0.07% (95%CrI: 0.05 – 0.09%)	0.02 – 0.36%
Time delay adjusted IFR	0.23% (95%CrI: 0.17 – 0.30%)	0.06 – 0.49%

457 CrI: Credibility intervals, CFR: Case fatality ratio, IFR: Infection fatality
458 ratio

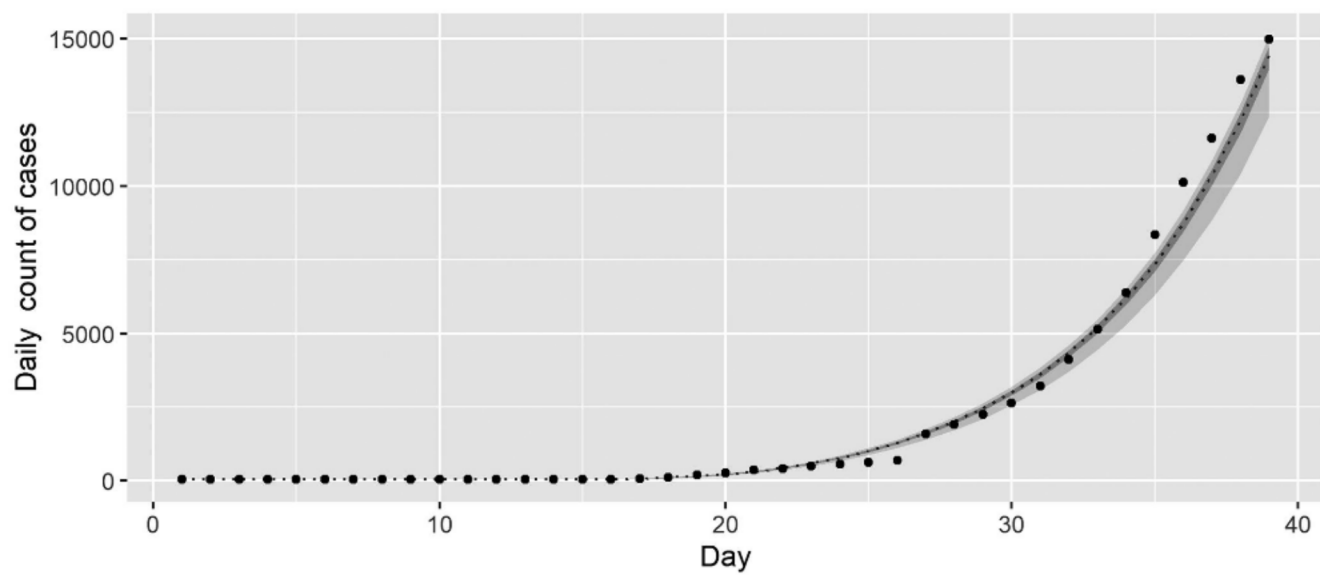
459 [‡]Upper and lower 95% credibility interval

460

A Observed and estimated number of reported cases
Wuhan



B Observed and estimated number of cumulative reported cases
Wuhan



Observed and estimated number of reported cumulative death
Wuhan

