



Regular article

Oxytocin receptor and vasopressin receptor 1a genes are respectively associated with emotional and cognitive empathy



F. Uzefovsky^{a,b,*}, I. Shalev^c, S. Israel^a, S. Edelman^a, Y. Raz^d, D. Mankuta^e, A. Knafo-Noam^a, R.P. Ebstein^f

^a Psychology Department, Hebrew University, Jerusalem 91501, Israel

^b Autism Research Centre, Department of Psychiatry, University of Cambridge, Cambridge, United Kingdom

^c Department of Biobehavioral Health, Pennsylvania State University, University Park, PA, USA

^d Neurobiology, Hebrew University, Jerusalem, Israel

^e Hadassah Medical Organization, Department of Labor and Delivery, Jerusalem, Israel

^f Psychology Department, National University of Singapore, Singapore

ARTICLE INFO

Article history:

Received 10 June 2014

Revised 28 October 2014

Accepted 8 November 2014

Available online 1 December 2014

Keywords:

Cognitive empathy

Emotional empathy

Oxytocin receptor

Arginine vasopressin receptor

Gene

ABSTRACT

Empathy is the ability to recognize and share in the emotions of others. It can be considered a multifaceted concept with cognitive and emotional aspects. Little is known regarding the underlying neurochemistry of empathy and in the current study we used a neurogenetic approach to explore possible brain neurotransmitter pathways contributing to cognitive and emotional empathy. Both the oxytocin receptor (*OXTR*) and the arginine vasopressin receptor 1a (*AVPR1a*) genes contribute to social cognition in both animals and humans and hence are prominent candidates for contributing to empathy. The following research examined the associations between polymorphisms in these two genes and individual differences in emotional and cognitive empathy in a sample of 367 young adults. Intriguingly, we found that emotional empathy was associated solely with *OXTR*, whereas cognitive empathy was associated solely with *AVPR1a*. Moreover, no interaction was observed between the two genes and measures of empathy. The current findings contribute to our understanding of the distinct neurogenetic pathways involved in cognitive and emotional empathy and underscore the pervasive role of both oxytocin and vasopressin in modulating human emotions.

© 2014 Elsevier Inc. All rights reserved.

The human ability to automatically connect and comprehend others, termed empathy, seems an intangible gift, yet it is deeply rooted in *Homo sapiens'* evolutionary history (Preston and de Waal, 2003). It is considered to be a primary building block in the creation and maintenance of social groups, and drives many aspects of social behavior, from child care (Preston and de Waal, 2003), to moral sense (Hoffman, 2001), to prosocial behavior towards kin and even strangers (Batson et al., 1988). Empathy can be conceptualized as the ability to understand and share in others' emotions, while maintaining a self-other distinction (Davis, 1980; Decety and Jackson, 2004). Considerable research points to a differentiation between the cognitive (i.e., cognitive empathy—CE) and emotional (i.e., emotional empathy—EE) facets of empathy. CE is the ability to recognize what the other is feeling (e.g., seeing someone cry and realizing they are upset), whereas EE is the sharing in others' emotions (e.g., seeing someone cry and feeling sad for them) (Davis, 1980; Zaki and Ochsner, 2012). The distinction between the cognitive and the emotional aspects of empathy is not only theoretical but is supported by a considerable body of empirical research. First, a

meta-analysis showed that CE and EE have different etiologies, while both EE and CE are moderately heritable, shared environment was found to affect only cognitive and not emotional empathy (Knafo and Uzefovsky, 2013). Second, recently, it has been shown that a gene coding for the dopamine D4 receptor is specifically associated with CE but not EE (Uzefovsky et al., 2014). Third, neuroimaging and lesion studies point to two different, albeit interconnected, brain networks underlying CE and EE. CE is associated with the “mentalizing network,” which is generally thought to include the ventromedial prefrontal cortex, the temporo-parietal junction and the temporal poles (Schnell et al., 2011; Shamay-Tsoory et al., 2009b; Zaki and Ochsner, 2012). On the other hand, the human mirror neuron system is arguably considered to be the basis for EE (Shamay-Tsoory, 2011a, 2011b). This system is activated both when we experience an emotion and when the “other” experiences the same emotion and includes the inferior parietal lobule and the amygdala, among others (Cox et al., 2011; Shamay-Tsoory et al., 2004, 2009b). Notwithstanding the empirical distinction that can be made between CE and EE, it is important to note that during normal empathic processing both systems are activated (Schnell et al., 2011; Zaki and Ochsner, 2012).

While much is known regarding the activation of brain structures during empathy eliciting tasks, less research has focused on the neurochemical pathways and specific neurotransmitters involved in empathy.

* Corresponding author at: Autism Research Centre, University of Cambridge, Douglas House, 18B Trumpington Road, Cambridge CB2 8AH.
E-mail address: fr316@cam.ac.uk (F. Uzefovsky).

Two neuropeptides, oxytocin and vasopressin, are biologically plausible candidates to mediate the neural pathways underlying empathy. Oxytocin (OT) and arginine vasopressin (AVP) play a crucial role in vertebrate social signaling with a long evolutionary history from fish to primates (Donaldson and Young, 2008). Animal studies have established a role for these two social neuropeptides in a wide range of affiliative behaviors (Bosch and Neumann, 2012; Hammock and Young, 2004; Young and Wang, 2004). Human studies using intranasal administration of both neuropeptides have demonstrated their role in a variety of social behaviors including trust (Kosfeld et al., 2005), generous giving (Zak et al., 2007) and emotion recognition (Domes et al., 2006; Uzefovsky et al., 2011). Several studies reported on associations between plasma levels and a range of affiliative behaviors, including parenting and romantic bonds (Feldman, 2012; Feldman et al., 2011; Schneiderman et al., 2012). Additionally, genetic studies found associations with individual differences in prosocial behavior (Israel et al., 2009; Knafo et al., 2008) and with social deficits in autism (Lerer et al., 2008; Yirmiya et al., 2006).

Most of the phenotypes examined with respect to OT-AVP genetic pathways are reliant on empathy, yet little research has been dedicated to direct examination of empathy and its two facets. The few studies to examine the role of this system in empathy have focused on the *OXTR* gene and very little attention has been given to *AVPR1a* (Chakrabarti et al., 2009; Lucht et al., 2013; Montag et al., 2012; Rodrigues et al., 2009; Schneiderman et al., 2012; Wu et al., 2012) (See Supporting Material Table 1). No study to date has investigated the combined effects of these two important genes. In addition, previous genetic studies were characterized by small samples and the findings have been mixed prompting us in the current investigation to simultaneously examine both *OXTR* and *AVPR1a* common polymorphisms in a larger sample of 367 subjects.

To minimize issues of multiple testing, we selected for analysis a single polymorphic region within the *OXTR* and the *AVPR1a*. For *OXTR*, the rs53576 SNP was selected for analysis. This SNP is located within intron 3 of the *OXTR* gene and constitutes a G → A change. A considerable body of research shows associations between this rs53576-GG genotype and increases in social cognition, including empathy (Rodrigues et al., 2009), in both clinical and non-clinical subjects (Bakermans-Kranenburg and van IJzendoorn, 2008; Chen et al., 2011; Costa et al., 2009; Kogan et al., 2011; Park et al., 2010). Similarly, for the *AVPR1a* gene, we selected for analysis the well-studied RS3 polymorphic repeat region located in the promoter, and in particular, we targeted the second most common repeat allele (termed 327 or 334 bp allele, depending on genotyping method). The 327 repeat allele may be functionally significant since carriers of the 327-repeat risk allele showed higher amygdala activation (Meyer-Lindenberg et al., 2008). This repeat allele was also associated with lower partner bonding in men (Walum et al., 2008), lower altruistic giving (Avinun et al., 2011) and autism (Kim et al., 2002). In summary, we examined the *OXTR* rs53576 SNP and the *AVPR1a*-327 polymorphic repeat region for their roles in contributing to CE and EE in a non-clinical student population. Finally, based on these investigations, we hypothesized that the presence of the rs53576-A allele in the *OXTR* gene and the presence of the 327-repeat allele in the *AVPR1a* gene both independently would predict lower empathy scores.

Methods

Participants

A total of 367 young adults (52% female; mean age, 24.40 ± 2.80 years) were recruited by advertisements on campus bulletin boards for a study on personality and genetics. All subjects were aged 18–35 years, had no self-report history of psychiatric disorders, chronic illness or drug taking and were non-smokers. All participants were of Jewish descent (56.1% Ashkenazi Jews, 21.0% Sephardic Jews and 22.6% of mixed Jewish descent). This sample was analyzed previously as Study 1 in Uzefovsky et al. (2014), in relation to the dopamine D4 receptor

gene. The project was approved by the S. Herzog Hospital IRB committee and the Israeli Ministry of Health.

Measures

To ensure a complete measurement of empathy, each participant filled out three widely used and highly validated self-report measures of empathy online: the Interpersonal Reactivity Index (IRI) (Davis, 1980), the Empathy Quotient (Baron-Cohen and Wheelwright, 2004) and the Questionnaire Measure of Emotional Empathy (QMEE) (Mehrabian and Epstein, 1972). Participants' scores on each of the measures were standardized and averaged out to create the total empathy score. All these measures tap into emotional and cognitive aspects of empathy; however, the IRI consists of four validated subscales, with two of the subscales measuring cognitive empathy (fantasy (F) and perspective taking (PT)) and two subscales measuring emotional empathy (empathic concern (EC) and personal distress (PD)). These subscales were used to create a CE and an EE scores in the same manner as the total empathy score (see also Uzefovsky et al., 2014).

DNA extraction and genotyping

DNA extraction was performed in the research lab of the S. Herzog Memorial Hospital. DNA was extracted using the MasterPure kit (Epicentre, Madison, Wisconsin, United States). Genotyping of *AVPR1a*.RS3 microsatellite repeats was performed jointly at S. Herzog Memorial Hospital and the Hadassah Medical Center, Jerusalem.

Amplification of the RS3 arginine vasopressin 1a microsatellites (*AVPR1a*) was achieved using the following primers (Bachner-Melman et al., 2005; Thibonnier et al., 2000; Wassink et al., 2004) forward (fluorescent) 5'-CCT GTA GAG ATG TAA GTG CT-3' and reverse 5'-TCT GGA AGA GAC TTA GAT GG-3'. Each reaction mixture contained 0.5 μM primer and 20 ng of DNA. A ReddyMix master mix (Thermoprime plus DNA polymerase) was used (Abgene, Surrey, United Kingdom) at a magnesium concentration of 1.5–2.5 mM MgCl₂. ReddyMix buffer consisted of 75 mM Tris-HCl (pH 8.8 at 25 °C), 20 mM (NH₄)₂SO₄ and 0.01% (v/v) Tween 20. The sample was initially heated at 95 °C for 5 min, followed by 30 cycles of 95 °C (30 s), 55 °C (30 s) and 72 °C (40 s) and a final extension step of 72 °C for 10 min. The PCR product was analyzed on an ABI 310 DNA analyzer (Applied Biosystems, Foster City, California, United States).

Genotyping of the *OXTR* rs53576 SNP was performed at the National University of Singapore. SNP genotyping was performed in multiplex assays by MassARRAY using iPLEX Gold chemistry (Sequenom, San Diego, California), followed by MALDI-TOF mass spectrometry. PCR and extension primers were designed using MassARRAY Assay Design software v4.0.0.2 and online tools available at mysequenom.com (Sequenom) (forward: 5'-GCACAGCATTTCATGAAAGG-3'; reverse: 5'-CTGTAGAATGAGCTTCCAG-3', extended primer: TCTGTGGGACTGAG GA C(G) T(A)). Genotypes were called automatically using MassARRAY Typer software (Sequenom).

Ambiguous and undetermined genotype results were scanned by a researcher and determined when possible. The researcher had no knowledge of the self-report scores. When no confident interpretation could be made, the sample was assigned a missing value. Participants with missing genotype information were excluded from the analysis. In total, three people were excluded from the analysis due to missing genetic data. Results conform to the Hardy–Weinberg equilibrium for both *OXTR* (chi-square = .37, *p* value > .05) and *AVPR1a* (chi-square = .07, *p* value > .05). The distribution of genotypes in the sample is presented in Table 1.

Although all participants were of the same ethnic origin (Jewish) and therefore there is little possibility for population stratification, we tested to make sure that Jewish origin (Ashkenazi/Sephardic) was not associated with allele frequency. For both *OXTR* and *AVPR1a*, no

Table 1
Distribution of the *OXTR* rs53576 and *AVPR1a*.RS3 genotype in the current sample, coded for presence and absence of the risk allele in each gene.

<i>OXTR</i> rs53576		<i>AVPR1a</i> .RS3	
Allele	N	Allele	N
A present (AA/AG)	194	327 repeat present	137
A absent (GG)	173	327 repeat absent	230

association between Jewish origin and allele frequency was found (chi-square .89 and 1.71 $p > .05$, respectively).

Statistical analysis

Genotype of the *AVPR1a*.RS3 microsatellite was coded as the presence or absence of the 327-repeat allele and genotype of the *OXTR* rs53576 was coded as the presence or absence of the A-minor allele, both resulting in two-level predictor variables. Gender, *OXTR* genotype, *AVPR1a* genotype and the interaction term of *OXTR* and *AVPR1a* all served as predictors of questionnaire scores. All variables were dummy coded and centered. Variables were entered into the regression model in three steps; first—gender, second—*OXTR* and *AVPR1a* genotypes and third—the interaction of *OXTR* and *AVPR1a*. Three regression models were analyzed with the total empathy score, cognitive empathy score and emotional empathy score as the dependent measures. All results were confirmed using 1000 bootstrap samples. The bootstrap procedure repeatedly resamples the data to create 1000 samples. This allows to reduce uncertainty in the estimated parameters. The bootstrapping procedure was carried out using the bootstrapping option that is available as part of a linear regression analysis in SPSS v19. All statistical tests were carried out using SPSS v19 (Windows).

Results

The complete empathy score is based on scores of three self-report measures of empathy: the interpersonal Reactivity Index (IRI) (Davis, 1980), the Questionnaire Measure of Emotional Empathy (QMEE) (Mehraban and Epstein, 1972) and the Empathy Quotient (EQ) (Baron-Cohen and Wheelwright, 2004). Mean scores (split by gender) and correlations between the measures are reported in Table 2. Theoretical considerations (all questionnaires aim to measure empathy) were supported by the correlations, allowing to construct a total empathy score.

We first turned to examine the association between the total empathy score and each of the genes, controlling for gender. We used a linear regression model with gender entered first. *OXTR* and *AVPR1a* genotypes were entered in the second step, and an interaction term (centered) between *OXTR* and *AVPR1a* genotype was entered in the third step. As expected, gender was a significant predictor of empathy ($p < .001$), with women scoring higher than men. Entering the *OXTR* and *AVPR1a* genotypes in the model revealed that both significantly predicted empathy, and gender and genes altogether explain a total of 13% of the variance in empathy scores. Results were confirmed with a bootstrap analysis. As we hypothesized, the presence of the rs53576-A allele

Table 2
Mean scores of the individual empathy measures split by gender, and the correlations between the measures. All correlations are significant at the $p < .001$ level.
Note: IRI = Interpersonal Reactivity Index; QMEE = Questionnaire Measure of Emotional Empathy; EQ = Empathy Quotient.

	Mean score—females (N = 190)	Mean score—males (N = 177)	Correlations		
			IRI	QMEE	EQ
IRI	97.35	90.43	1		
QMEE	42.63	27.03	.70**	1	
EQ	44.25	40.43	.42**	.52**	1

in the *OXTR* gene, and the presence of the 327-repeat allele in the *AVPR1a* gene, both independently predicted lower empathy scores. The interaction between *OXTR* and *AVPR1a* genotypes was not a significant predictor of empathy (see Table 3).

We next turned to investigate the effect of genotype on the two empathy types. We carried out regression analyses similar to that described above, with cognitive empathy as the dependent variable in the first regression and emotional empathy the dependent variable in the second. In both analyses, gender significantly predicted both CE and EE, with women scoring higher than men (standardized β was .18 and .32, respectively, in the complete model). The *OXTR* rs53576-A allele predicted lower emotional empathy (standardized $\beta = -.11$, $p = .029$), but not cognitive empathy (standardized $\beta = -.08$, $p = .10$). On the other hand, the *AVPR1a* 327 allele predicted lower cognitive empathy (standardized $\beta = -.16$, $p = .002$), but not emotional empathy (standardized $\beta = -.08$, $p = .12$). The interaction between *OXTR* and *AVPR1a* genotypes was not associated with emotional or cognitive empathy. Results were confirmed with a bootstrap analysis (see Tables 4 and 5 for details). These results suggest that somewhat distinct molecular genetic and neurochemical architecture characterizes cognitive and emotional empathy.

Discussion

We have implemented a neurogenetic strategy to explore the neurochemical pathways underpinning human empathy in a relatively large sample of young adults. We tested the contribution of polymorphisms in the *AVPR1a* and *OXTR* genes to individual differences in empathy. Both of these genes are very plausible candidates for contributing to empathy, a core concept in social cognition. We further investigated the cognitive and emotional facets of empathy to gain a better understanding of the contribution of OT and AVP pathways to empathy. Both the *AVPR1a* 327 repeat and the *OXTR* rs53576 SNP variants were, as we predicted, associated with empathy and the allelic direction of association is consistent with other investigations of these two polymorphisms. Notably, *OXTR* rs53576-A allele solely predicted lower emotional empathy whereas the *AVPR1a* 327 repeat allele solely predicted lower scores on cognitive empathy. Interestingly, the interaction between the two genes was not associated with either empathy measure. Altogether, the neurogenetic approach taken in the current study allows a molecular distinction to be made between both facets of empathy and identifies at least two of the neurochemical pathways contributing to this most human of traits.

The current results give greater traction to an increasing body of research, suggesting that the neurochemical and neurogenetic underpinnings of emotional empathy are somewhat distinct from those of cognitive empathy. Neuroimaging, brain lesion studies, genetic studies and clinical research studies support the notion that empathy is multifaceted and relies on both partially distinct and yet apparently interconnected, brain circuits (Shamay-Tsoory et al., 2007, 2009a, 2009b; Shamay-Tsoory and Aharon-Peretz, 2007; Uzefovsky et al., 2014; Zaki and Ochsner, 2012). Hence, we suggest that empathy should not be studied simply as a monolithic concept but rather that its multifaceted nature needs to be taken into account. Moreover, our findings based on a neurogenetic strategy suggest the distinct involvement of oxytocinergic neural pathways in emotional empathy and vasopressin pathways in cognitive empathy. Although few studies have assessed the association between these genes and empathy, one study by Rodrigues et al. (2009) investigated the association between *OXTR* rs53576 SNP and empathy as measured by the Interpersonal Reactivity Index (IRI), finding that, as in the current study, the rs53576-A was associated with lower empathy scores (they did not distinguish between the cognitive and emotional subscales). However, Rodrigues et al. (2009) in the same investigation also finds an association between the *OXTR* rs53576 and the Reading the Mind in the Eyes Test (RMET) (Baron-Cohen et al., 2001), a measure of cognitive empathy, a result

Table 3

Linear regression analyses with gender, *OXTR* rs53576 genotype, *AVPR1a.327* genotype and the interaction between *OXTR* and *AVPR1a* genotyped predicting empathy scores. Results show that (1) women have higher empathy scores than men; (2) the A allele of the *OXTR* rs53576 and the 327 repeat allele of the *AVPR1a* independently predict lower empathy scores; (3) the interaction between *OXTR* rs53576 and *AVPR1a.327* is not predictive of empathy scores. Results were confirmed using a bootstrap procedure based on 1000 bootstrap samples.

Empathy	β (standardized)	Sig.	Block ΔR^2	F	Sig.	B (unstandardized)	Bootstrap 95% CI	
							Lower	Higher
Step 1			.11	44.08	$p < .001$			
Gender	.328	$p < .001$.617	.425	.798
Step 2			.132	19.53	$p < .001$			
Gender	.333	$p < .001$.625	.439	.807
<i>OXTR</i> rs53576	-.134	$p = .006$.031		$p = .002$	-.217	-.399	-.051
<i>AVPR1a.327</i>	-.115	$p = .018$				-.261	-.443	-.064
Step 3			.130	14.62	$p < .001$			
Gender	.332	$p < .001$.625	.435	.808
<i>OXTR</i> rs53576	-.115	$p = .019$				-.217	-.400	-.049
<i>AVPR1a.327</i>	-.134	$p = .006$				-.261	-.446	-.063
<i>OXTR</i> x <i>AVPR1a</i>	-.010	$p = .838$.000		$p = .838$	-.039	-.390	.351

that is in conflict with our failure to find an association between this SNP and cognitive empathy using a self-report questionnaire. One possible explanation for the discrepancy in the results is that the [Rodrigues et al. \(2009\)](#) study included very mixed ethnicity including 35% Caucasians, 41% Asians and 24% mixed in their smaller sample of only 192 subjects. In addition, it is also possible that the type of the task (performance based vs. a questionnaire measure) affected this result. Similar findings have been reported by [Lucht et al. \(2013\)](#). They examined 3 *OXTR* SNPs, rs53576 among them, in a group of 76 adolescents and young adults, finding that the rs2228485 was associated with performance on the RMET (rs53576 and rs2254298 did not withstand multiple testing corrections) ([Lucht et al., 2013](#)). Similarly to the [Rodrigues et al. \(2009\)](#) study, several factors may explain the divergence in results; the sample size is very small in terms of genetic research, the task measures performance and the sample included adolescents. Notwithstanding, support for our current findings that the *OXTR* is associated with emotional but not cognitive empathy comes from a recent study that examined the effects of intranasal OT administration on EE and CE ([Hurlemann et al., 2010](#)). The study showed that the administration of OT brought on an increase in EE but not CE. Additional partial support comes from another study that examined the association between *OXTR* and empathy in individuals with Schizophrenia and controls ([Montag et al., 2012](#)). In their study, the rs2254298, but not the rs53576, was found to be associated with EE (specifically, the empathic concern subscale of the IRI) only in the schizophrenia group. Although this study does not constitute a full replication, it still supports the notion that *OXTR* is associated with emotional empathy. However, clearly, additional research with larger samples is needed to understand the specific role of the oxytocinergic and vasopressinergic systems in the two facets of empathy.

The current study joins an ever growing literature on the association between the oxytocin-vasopressin system and social cognition and behavior, although the biological mechanism of this association is yet largely unknown. Only a few studies examined the functionality of these polymorphisms ([Knafo et al., 2008](#); [Meyer-Lindenberg et al., 2008](#); [Tansey et al., 2011](#); [Tost et al., 2010](#); [Wang et al., 2013](#)). Several neuroimaging studies have begun to explore the functional effects of the *OXTR* rs53576 SNP and its association with brain areas associated with empathy. [Tost et al. \(2010\)](#) showed that the G-allele is associated with higher gray matter volume in the amygdala and hypothalamus. In addition, the connectivity between the amygdala and hypothalamus was associated with genotype ([Tost et al., 2010](#)). Similarly, [Wang et al. \(2013\)](#) showed that the G-allele is associated with higher volume of the amygdala. In addition, G carriers showed higher functional connectivity with the prefrontal cortex ([Wang et al., 2013](#)). A recent study revealed, using a SPECT analysis, an association between the G-allele and lower striatal availability of the dopamine transporter gene (DAT) ([Chang et al., 2014](#)). Taken together, these studies point to a role of the rs53576 in moderating the functionality of brain areas involved in social cognition. Again, studies of the functional significance of the RS3 polymorphism are scarce. The length of RS3 was previously associated with mRNA levels of *AVPR1a* in the hypothalamus ([Knafo et al., 2008](#)) and with promoter activity ([Tansey et al., 2011](#)). As mentioned, the 327 allele was associated with amygdala activation ([Meyer-Lindenberg et al., 2008](#)). Taken together, these studies suggest that the observed association between *OXTR* and *AVPR1a* genes and empathy is mediated by socio-emotional networks in the brain. However, future research in the field of social science can greatly benefit from studies on the basic biology of these (and other) polymorphisms in the *OXTR* and *AVPR1a* genes.

Table 4

Linear regression analyses with gender, *OXTR* rs53576 genotype, *AVPR1a.327* genotype and the interaction between *OXTR* and *AVPR1a* genotyped predicting emotional empathy scores. Results show that emotional empathy is predicted by gender (women score higher than men) and by the *OXTR* rs53576 genotype (the A allele is associated with lower empathy). The *AVPR1a.327* genotype and the interaction are not predictive of emotional empathy. Results were confirmed using a bootstrap procedure based on 1000 bootstrap samples.

Emotional empathy	β (standardized)	Sig.	Block ΔR^2	F	Sig.	B (unstandardized)	Bootstrap 95% CI	
							Lower	Higher
Step 1			.097	40.27	$p < .001$			
Gender	.315	$p < .001$.627	.428	.821
Step 2			.11	16.01	$p < .001$			
Gender	.317	$p < .001$.630	.433	.825
<i>OXTR</i> rs53576	-.108	$p = .029$.018		$p = .028$	-.215	-.399	-.016
<i>AVPR1a.327</i>	-.077	$p = .122$				-.157	-.359	.051
Step 3			.11	11.98	$p < .001$			
Gender	.317	$p < .001$.630	.432	.822
<i>OXTR</i> rs53576	-.108	$p = .029$				-.215	-.399	-.019
<i>AVPR1a.327</i>	-.077	$p = .122$				-.158	-.363	.047
<i>OXTR</i> x <i>AVPR1a</i>	.007	$p = .891$.000		$p = .891$.028	-.373	.392

Table 5
Linear regression analyses with gender, *OXTR* rs53576 genotype, *AVPR1a.327* genotype and the interaction between *OXTR* and *AVPR1a* genotyped predicting cognitive empathy scores. Results show that cognitive empathy is predicted by gender (women score higher than men) and by the *AVPR1a.327* genotype (the 327 allele is associated with lower empathy). The *OXTR* rs53576 genotype and the interaction are not predictive of cognitive empathy. Results were confirmed using a bootstrap procedure based on 1000 bootstrap samples.

Cognitive empathy	β (standardized)	Sig.	Block ΔR^2	F	Sig.	B (unstandardized)	Bootstrap 95% CI	
							Lower	Higher
Step 1			.026	10.90	$p = .001$			
Gender	.170	$p = .001$.334	.141	.532
Step 2			.052	7.73	$p < .001$			
Gender	.176	$p = .001$.346	.145	.543
<i>OXTR</i> rs53576	-.083	$p = .103$.018		$p = .028$	-.164	-.354	.031
<i>AVPR1a.327</i>	-.156	$p = .002$				-.316	-.526	-.118
Step 3			.060	5.77	$p < .001$			
Gender	.176	$p = .001$.346	.150	.544
<i>OXTR</i> rs53576	-.083	$p = .103$				-.164	-.352	.031
<i>AVPR1a.327</i>	-.156	$p = .002$				-.316	-.526	-.118
<i>OXTR</i> x <i>AVPR1a</i>	.007	$p = .895$.000		$p = .895$.027	-.365	.436

This is the first and the largest study to examine concurrently the role of *OXTR* and *AVPR1a* in contributing to individual differences in both facets of empathy, EE and CE. The current results show that EE and CE can be distinguished at the genetic level and by implication at the neurochemical level. *OXTR* was associated with emotional empathy, which we suggest is consistent with a large literature demonstrating a role of this gene in both animal and human affiliative behaviors. *AVPR1a* appears to contribute solely to cognitive empathy also consistent with previous findings, suggesting a role for this gene in various facets of social cognition and social memory (Dantzer et al., 1988; Ferguson et al., 2002; Walum et al., 2008).

As mentioned, the current study investigated the effects of *OXTR* and *AVPR1a* on empathy in the largest sample to date. However, a replication of the current findings is needed to establish the unique role of *OXTR* and *AVPR1a* in individual differences in cognitive and emotional empathy. Our findings help clarify the proximal mechanisms that are associated with cognitive and emotional empathy and, in doing so, may open new avenues for interventions in clinical conditions associated with difficulties in empathy such as autism and anti-social personality disorder.

Role of funding source

Financial support (RPE) from the National University of Singapore, NUS grant: R-122000125133. John Templeton Foundation :ID# 21240. Ministry of Education at Singapore, the AXA Research Foundation and the Templeton Foundation are gratefully acknowledged. FU was funded by the Arianne de Rothschild Fellowship. Sponsors had no role in the study design; in the collection, analysis and interpretation of the data; in the writing of the report; and in the decision to submit the article for publication.

Acknowledgments

We are grateful to all the participants and to the research assistants who recruited them.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.yhbeh.2014.11.007>.

References

Avinun, R., Israel, S., Shalev, I., Gritsenko, I., Bornstein, G., Ebstein, R.P., Knafo, A., 2011. *AVPR1A* variant associated with preschoolers' lower altruistic behavior. *PLoS One* 6 (9), e25274. <http://dx.doi.org/10.1371/journal.pone.0025274>.

- Bachner-Melman, R., Dina, C., Zohar, A.H., Constantini, N., Lerer, E., Hoch, S., Lichtenberg, P., 2005. *AVPR1a* and *SLC6A4* gene polymorphisms are associated with creative dance performance. *PLoS Genet.* 1 (3), e42.
- Bakermans-Kranenburg, M.J., van IJzendoorn, M.H., 2008. Oxytocin receptor (*OXTR*) and serotonin transporter (*5-HTT*) genes associated with observed parenting. *Soc. Cogn. Affect. Neurosci.* 3 (2), 128–134. <http://dx.doi.org/10.1093/scan/nsn004>.
- Baron-Cohen, S., Wheelwright, S., 2004. The empathy quotient: an investigation of adults with Asperger syndrome or high functioning autism, and normal sex differences. *J. Autism Dev. Disord.* 34 (2), 163–175.
- Baron-Cohen, S., Wheelwright, S., Hill, J., Raste, Y., Plumb, I., 2001. The "Reading the Mind in the Eyes" test revised version: a study with normal adults, and adults with Asperger syndrome or high-functioning autism. *J. Child Psychol. Psychiatry* 42 (2), 241–251.
- Batson, C., Dyck, J., Brandt, J., Batson, J., Powell, A., McMaster, M., Griffitt, C., 1988. Five studies testing two new egoistic alternatives to the empathy-altruism hypothesis. *J. Pers. Soc. Psychol.* 55 (1), 52–77.
- Bosch, O.J., Neumann, I.D., 2012. Both oxytocin and vasopressin are mediators of maternal care and aggression in rodents: from central release to sites of action. *Horm. Behav.* 61 (3), 293–303. <http://dx.doi.org/10.1016/j.yhbeh.2011.11.002>.
- Chakrabarti, B., Dudbridge, F., Kent, L., Wheelwright, S., Hill-Cawthorne, G., Allison, C., Baron-Cohen, S., 2009. Genes related to sex steroids, neural growth, and social-emotional behavior are associated with autistic traits, empathy, and Asperger syndrome. *Autism Res.* 2 (3), 157–177. <http://dx.doi.org/10.1002/aur.80>.
- Chang, W.H., Lee, I.H., Chen, K.C., Chi, M.H., Chiu, N.-T., Yao, W.J., Chen, P.S., 2014. Oxytocin receptor gene rs53576 polymorphism modulates oxytocin–dopamine interaction and neuroticism traits—a SPECT study. *Psychoneuroendocrinology* 47 (0), 212–220. <http://dx.doi.org/10.1016/j.psyneuen.2014.05.020>.
- Chen, F.S., Kumsta, R., von Dawans, B., Monakhov, M., Ebstein, R.P., Heinrichs, M., 2011. Common oxytocin receptor gene (*OXTR*) polymorphism and social support interact to reduce stress in humans. *Proc. Natl. Acad. Sci.* 108 (50), 19937–19942. <http://dx.doi.org/10.1073/pnas.1113079108>.
- Costa, B., Pini, S., Gabelloni, P., Abelli, M., Lari, L., Cardini, A., Martini, C., 2009. Oxytocin receptor polymorphisms and adult attachment style in patients with depression. *Psychoneuroendocrinology* 34 (10), 1506–1514. <http://dx.doi.org/10.1016/j.psyneuen.2009.05.006>.
- Cox, C.L., Uddin, L.Q., Di Martino, A., Castellanos, F.X., Milham, M.P., Kelly, C., 2011. The balance between feeling and knowing: affective and cognitive empathy are reflected in the brain's intrinsic functional dynamics. *Soc. Cogn. Affect. Neurosci.* <http://dx.doi.org/10.1093/scan/nsr051>.
- Dantzer, R., Koob, G.F., Le Moal, M., 1988. Septal vasopressin modulates social memory in male rats. *Brain Res.* 457 (1), 143–147.
- Davis, M., 1980. A multidimensional approach to individual differences in empathy. *JSAS Cat. Sel. Doc. Psychol.* 10 (4), 85.
- Decety, J., Jackson, P.L., 2004. The functional architecture of human empathy. *Behav. Cogn. Neurosci. Rev.* 3 (2), 71–100.
- Domes, G., Heinrichs, M., Michel, A., Berger, C., Herpertz, S.C., 2006. Oxytocin improves "mind-reading" in humans. *Biol. Psychiatry* 61 (6), 731–733.
- Donaldson, Z.R., Young, L.J., 2008. Oxytocin, vasopressin, and the neurogenetics of sociality. *Science* 322 (5903), 900–904.
- Feldman, R., 2012. Oxytocin and social affiliation in humans. *Horm. Behav.* 61 (3), 380–391. <http://dx.doi.org/10.1016/j.yhbeh.2012.01.008>.
- Feldman, R., Gordon, I., Zagoory-Sharon, O., 2011. Maternal and paternal plasma, salivary, and urinary oxytocin and parent–infant synchrony: considering stress and affiliation components of human bonding. *Dev. Sci.* 14 (4), 752–761.
- Ferguson, J.N., Young, L.J., Insel, T.R., 2002. The neuroendocrine basis of social recognition. *Front. Neuroendocrinol.* 23 (2), 200–224.
- Hammock, E.A., Young, L.J., 2004. Functional microsatellite polymorphism associated with divergent social structure in vole species. *Mol. Biol. Evol.* 21 (6), 1057–1063.
- Hoffman, M.L., 2001. *Empathy and Moral Development: Implications for Caring and Justice*. Cambridge University Press.
- Hurlemann, R., Patin, A., Onur, O.A., Cohen, M.X., Baumgartner, T., Metzler, S., Kendrick, K.M., 2010. Oxytocin enhances amygdala-dependent, socially reinforced learning and emotional empathy in humans. *J. Neurosci.* 30 (14), 4999–5007. <http://dx.doi.org/10.1523/jneurosci.5538-09.2010>.

- Israel, S., Lerer, E., Shalev, I., Uzefovsky, F., Riebold, M., Laiba, E., Knafo, A., 2009. The oxytocin receptor (OXTR) contributes to prosocial fund allocations in the dictator game and the social value orientations task. *PLoS One* 4 (5), e5535.
- Kim, S.J., Young, L.J., Gonen, D., Veenstra-VanderWeele, J., Courchesne, R., Courchesne, E., Insel, T.R., 2002. Transmission disequilibrium testing of arginine vasopressin receptor 1A (AVPR1A) polymorphisms in autism. *Mol. Psychiatry* 7 (5), 503–507.
- Knafo, A., Uzefovsky, F. (Eds.), 2013. *Variation in Empathy: The Interplay of Genetic and Environmental Factors*. The Guilford Press, New York.
- Knafo, A., Israel, S., Darvasi, A., Bachner-Melman, R., Uzefovsky, F., Cohen, L., Ebstein, R.P., 2008. Individual differences in allocation of funds in the dictator game associated with length of the arginine vasopressin 1a receptor RS3 promoter region and correlation between RS3 length and hippocampal mRNA. *Genes Brain Behav.* 7 (3), 266–275.
- Kogan, A., Saslow, L.R., Impett, E.A., Oveis, C., Keltner, D., Rodrigues Saturn, S., 2011. Thin-slicing study of the oxytocin receptor (OXTR) gene and the evaluation and expression of the prosocial disposition. *Proc. Natl. Acad. Sci.* 108 (48), 19189–19192. <http://dx.doi.org/10.1073/pnas.1112658108>.
- Kosfeld, M., Heinrichs, M., Zak, P.J., Fischbacher, U., Fehr, E., 2005. Oxytocin increases trust in humans. *Nature* 435 (7042), 673–676.
- Lerer, E., Levi, S., Salomon, S., Darvasi, A., Yirmiya, N., Ebstein, R.P., 2008. Association between the oxytocin receptor (OXTR) gene and autism: relationship to Vineland Adaptive Behavior Scales and cognition. *Mol. Psychiatry* 13 (10), 980–988.
- Lucht, M.J., Barnow, S., Sonnenfeld, C., Ulrich, I., Grabe, H.J., Schroeder, W., Roskopf, D., 2013. Associations between the oxytocin receptor gene (OXTR) and “mind-reading” in humans—an exploratory study. *Nord. J. Psychiatry* 67 (1), 15–21. <http://dx.doi.org/10.3109/08039488.2012.700731>.
- Mehrabian, A., Epstein, N., 1972. A measure of emotional empathy. *J. Pers.* 40 (4), 525–543.
- Meyer-Lindenberg, A., Kolachana, B., Gold, B., Olsh, A., Nicodemus, K.K., Mattay, V., Weinberger, D.R., 2008. Genetic variants in AVPR1A linked to autism predict amygdala activation and personality traits in healthy humans. *Mol. Psychiatry* 14 (10), 968–975.
- Montag, C., Brockmann, E.-M., Lehmann, A., Müller, D.J., Rujescu, D., Gallinat, J., 2012. Association between oxytocin receptor gene polymorphisms and self-rated ‘empathic concern’ in schizophrenia. *PLoS One* 7 (12), e51882. <http://dx.doi.org/10.1371/journal.pone.0051882>.
- Park, J., Willmott, M., Vetuz, G., Toye, C., Kirley, A., Hawi, Z., Kent, L., 2010. Evidence that genetic variation in the oxytocin receptor (OXTR) gene influences social cognition in ADHD. *Prog. Neuro-Psychopharmacol. Biol. Psychiatry* 34 (4), 697–702. <http://dx.doi.org/10.1016/j.pnpbp.2010.03.029>.
- Preston, S., de Waal, F., 2003. Empathy: its ultimate and proximate bases. *Behav. Brain Sci.* 25 (01), 1–20.
- Rodrigues, S.M., Saslow, L.R., Garcia, N., John, O.P., Keltner, D., 2009. Oxytocin receptor genetic variation relates to empathy and stress reactivity in humans. *Proc. Natl. Acad. Sci.* <http://dx.doi.org/10.1073/pnas.0909579106>.
- Schneiderman, I., Zagoory-Sharon, O., Leckman, J.F., Feldman, R., 2012. Oxytocin during the initial stages of romantic attachment: relations to couples’ interactive reciprocity. *Psychoneuroendocrinology* 37 (8), 1277–1285.
- Schnell, K., Bluschke, S., Konradt, B., Walter, H., 2011. Functional relations of empathy and mentalizing: an fMRI study on the neural basis of cognitive empathy. *Neuroimage* 54 (2), 1743–1754. <http://dx.doi.org/10.1016/j.neuroimage.2010.08.024>.
- Shamay-Tsoory, S.G., 2011a. The neural bases for empathy. *Neuroscientist* 17 (1), 18–24. <http://dx.doi.org/10.1177/1073858410379268>.
- Shamay-Tsoory, S.G., 2011b. Empathic processing: its cognitive and affective dimensions and neuroanatomical basis. In: Decety, J., Ickes, W. (Eds.), *The Social Neuroscience of Empathy*, p. 215.
- Shamay-Tsoory, S.G., Aharon-Peretz, J., 2007. Dissociable prefrontal networks for cognitive and affective theory of mind: a lesion study. *Neuropsychologia* 45 (13), 3054–3067.
- Shamay-Tsoory, S.G., Tomer, R., Goldsher, D., Berger, B.D., Aharon-Peretz, J., 2004. Impairment in cognitive and affective empathy in patients with brain lesions: anatomical and cognitive correlates. *J. Clin. Exp. Neuropsychol.* 26 (8), 1113–1127.
- Shamay-Tsoory, S.G., Shur, S., Barcai-Goodman, L., Medlovich, S., Harari, H., Levkovitz, Y., 2007. Dissociation of cognitive from affective components of theory of mind in schizophrenia. *Psychiatry Res.* 149 (1–3), 11–23.
- Shamay-Tsoory, S., Harari, H., Szepsenwol, O., Levkovitz, Y., 2009a. Neuropsychological evidence of impaired cognitive empathy in euthymic bipolar disorder. *J. Neuropsychiatry Clin. Neurosci.* 21 (1), 59–67.
- Shamay-Tsoory, S.G., Aharon-Peretz, J., Perry, D., 2009b. Two systems for empathy: a double dissociation between emotional and cognitive empathy in inferior frontal gyrus versus ventromedial prefrontal lesions. *Brain* 132 (3), 617–627. <http://dx.doi.org/10.1093/brain/awn279>.
- Tansey, K.E., Hill, M.J., Cochrane, L.E., Gill, M., Anney, R., Gallagher, L., 2011. Functionality of promoter microsatellites of arginine vasopressin receptor 1A (AVPR1A): implications for autism. *Mol. Autism* 2 (1), 3.
- Thibonnier, M., Graves, M.K., Wagner, M.S., Chatelain, N., Soubrier, F., Corvol, P., Jeunemaitre, X., 2000. Study of V1-vascular vasopressin receptor gene microsatellite polymorphisms in human essential hypertension. *J. Mol. Cell. Cardiol.* 32 (4), 557–564.
- Tost, H., Kolachana, B., Hakimi, S., Lemaître, H., Verchinski, B.A., Mattay, V.S., Meyer-Lindenberg, A., 2010. A common allele in the oxytocin receptor gene (OXTR) impacts prosocial temperament and human hypothalamic-limbic structure and function. *Proc. Natl. Acad. Sci.* 107 (31), 13936–13941. <http://dx.doi.org/10.1073/pnas.1003296107>.
- Uzefovsky, F., Shalev, I., Israel, S., Knafo, A., Ebstein, R.P., 2011. Vasopressin selectively impairs emotion recognition in men. *Psychoneuroendocrinology* 37 (4), 576–580.
- Uzefovsky, F., Shalev, I., Israel, S., Edelman, S., Raz, Y., Perach-Barzilay, N., Ebstein, R., 2014. The dopamine D4 receptor gene shows a gender sensitive association with cognitive empathy: evidence from two independent samples. *Emotion* <http://dx.doi.org/10.1037/a0036555>.
- Walum, H., Westberg, L., Henningsson, S., Neiderhiser, J.M., Reiss, D., Igl, W., Lichtenstein, P., 2008. Genetic variation in the vasopressin receptor 1a gene (AVPR1A) associates with pair-bonding behavior in humans. *Proc. Natl. Acad. Sci. U. S. A.* 105 (37), 14153–14156.
- Wang, J., Qin, W., Liu, B., Zhou, Y., Wang, D., Zhang, Y., Yu, C., 2013. Neural mechanisms of oxytocin receptor gene mediating anxiety-related temperament. *Brain Struct. Funct.* 1–12. <http://dx.doi.org/10.1007/s00429-013-0584-9>.
- Wassink, T., Piven, J., Veland, V., Pietila, J., Goedken, R., Folstein, S., Sheffield, V., 2004. Examination of AVPR1a as an autism susceptibility gene. *Mol. Psychiatry* 9 (10), 968–972.
- Wu, N., Li, Z., Su, Y., 2012. The association between oxytocin receptor gene polymorphism (OXTR) and trait empathy. *J. Affect. Disord.* 138 (3), 468–472. <http://dx.doi.org/10.1016/j.jad.2012.01.009>.
- Yirmiya, N., Rosenberg, C., Levi, S., Salomon, S., Shulman, C., Nemanov, L., Ebstein, R.P., 2006. Association between the arginine vasopressin 1a receptor (AVPR1a) gene and autism in a family-based study: mediation by socialization skills. *Mol. Psychiatry* 11 (5), 488–494.
- Young, L.J., Wang, Z., 2004. The neurobiology of pair bonding. *Nat. Neurosci.* 7 (10), 1048–1054.
- Zak, P.J., Stanton, A.A., Ahmadi, S., 2007. Oxytocin increases generosity in humans. *PLoS One* 2 (11), e1128.
- Zaki, J., Ochsner, K.N., 2012. The neuroscience of empathy: progress, pitfalls and promise. *Nat. Neurosci.* 15 (5), 675–680.